

Collision Avoidance for An Ackermann-Steering Vehicle via Map-Based Deep Reinforcement Learning (2021)

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Abstract—Deep reinforcement learning (DRL) approaches have been applied for robot navigation with promising results. However, it is still challenging to develop a DRL-based collision avoidance method for an Ackermann-steering vehicle among unknowingly moving obstacles, as the trained network not only needs to generate collision-free trajectories that satisfy Ackermann kinematic constraints, but also be able to handle multiple moving obstacles in various environments. In this paper, we propose a map-based DRL approach for collision avoidance of an Ackermann-steering vehicle that can handle multiple moving obstacles. In specific, we introduce a convolutional neural network that maps the vehicle’s observation to a kinematically-feasible trajectory and train the network in simulation environments with moving obstacles that are driving by a modified version of the network. We introduce a specified reward shaping strategy and a multi-stage parallel curriculum learning strategy to accelerate and stabilize the training process. Then we deploy the trained model to an actual vehicle to perform collision avoidance in the real world. We evaluate the approach with multiple scenarios both in the simulation and the real world. Both qualitative and quantitative experiments show that the approach allows the vehicle to avoid various moving obstacles with a high success rate.

I. INTRODUCTION

Collision avoidance for Ackermann-steering vehicles [1] is to efficiently find a collision-free and kinematically-feasible path to the target in various environments with both static and moving obstacles, which is one of the major challenges for multiple autonomous applications, like self-driving cars [2] and delivering vehicles [3].

Although numerous collision avoidance methods have been proposed, they suffer from several common limitations in practice [4]. For instance, assumptions of the method may not hold in every environment [5], intensive computational demands are imposed by some methods [6], difficult and time-consuming manual parameter tuning is required to deploy the method [7], and it is difficult for the method to learn from past experiences [8].

Moreover, multi-robot collision avoidance for robots with different shapes and different kinematic constraints in a distributed and communication-free scenario is a much more challenging task. Existing approaches, like optimal reciprocal collision avoidance (ORCA) [9] and bicycle reciprocal collision avoidance (B-ORCA) [10], provide a sufficient condition for robots to avoid collisions with each other. However, they require the robots to share the same kinematic constraints. Generalized reciprocal collision avoidance [11] extends the approach to robots with different kinematic constraints, like differential-drive and Ackermann-steering.



Fig. 1. The Ackermann-steering vehicle.

However, it requires all the robots to move following the same strategy.

To overcome these limitations, deep reinforcement learning (DRL) approaches have been applied for collision avoidance with promising results [12]. However, few of them considered multi-robot collision avoidance for robots with different kinematic constraints, which limits their applications, like navigating an Ackermann-steering vehicle through pedestrians or differential-drive robots in a communication-free scenario.

According to the difference between the networks’ inputs, existing DRL methods can be roughly divided into three categories: agent-based, sensor-based, and map-based. In particular, an agent-based method [13] takes into account positions and movement data, like velocities or accelerations, of other robots and obstacles, which assumes the perfect sensing of the surroundings and is hard to be implemented in the real world. A sensor-based method uses the sensor data, like laser scan data [14], as the inputs of the network, which only works for a certain type of sensors. A map-based method considers an intermediate representation of the surrounding environment, like local grid maps [12], [15], which can be easily generated from multiple sensor data or sensor fusion results. Compared to other methods, the map-based approach is more robust to noisy sensor data, does not require robots’ movement data, easy to be trained in a simulator, and considers sizes and shapes of related robots, which make it more effective, robust, and easier to be deployed to real robots.

In this paper, inspired by B-ORCA, we propose a map-based DRL approach for collision avoidance of an Ackermann-steering vehicle, which also supports the vehicle to navigate through pedestrians or differential-drive robots in a communication-free scenario. We use the egocentric local

grid map of the vehicle to represent its immediate environmental information, which specifies its shape and observable appearances of static and moving obstacles. Then we apply the distributed proximal policy optimization (DPPO) algorithm to train a convolutional neural network that directly maps three frames of egocentric local grid maps and the position of the vehicle’s local target into a drivable trajectory. In specific, the network outputs both the curvature of the trajectory and the expected acceleration of the vehicle, which would be further processed by the trajectory tracking system of the vehicle to obtain the corresponding control commands of the specified vehicle. Notice that, it is more robust for an Ackermann-steering vehicle to track trajectories by its fine-tuned trajectory tracking system, than directly execute low-level commands learned from the simulator.

In the first stage, We train the neural network in simulation environments with only static obstacles. In the second state, we continue to train the network in environments with other vehicles and multiple differential-drive mobile robots, which are navigated by the modified version of the network by converting the outputs to robot’s control commands, i.e., linear velocities and angular velocities. Notice that, the network learns how to interact with these differential-drive mobile robots in these environments. As discussed in [12], the network trained in these environments allows the robot to navigate through pedestrians. At last, we deploy the trained model to an actual vehicle to perform collision avoidance in its navigation without tedious parameter tuning.

Note that, it is not easy to learn a robust collision avoidance policy for various moving obstacles from simulation environments. If these moving obstacles are navigated by a specified strategy, then the learned policy might be vulnerable to obstacles that behave differently. However, we show that by training the policy network in environments with other vehicles and differential-drive robots, where all vehicles and robots are driven by the network and its modification respectively, the performance of collision avoidance for the vehicle to navigate through multiple moving obstacles can be greatly improved.

We also introduce two strategies to accelerate and stabilize the training process. First, we apply the reward shaping technique [16] by adding stepped penalties for obstacles in the warning or danger zone of the vehicle. Then we use a multi-stage parallel curriculum learning strategy [17] to speed up and optimize the training.

We evaluate the approach with multiple scenarios both in simulation and the real world. Experimental results show that the approach is effective with a high success rate. We also conduct ablation studies to show the positive effects of applying our improvements. The demonstration video can be found at <https://youtu.be/kkEUVgvzsDE>. Our main contributions are summarized as follows:

- We propose a map-based DRL approach for collision avoidance of Ackermann-steering vehicles, which maps local grid maps of the vehicle into a drivable trajectory. The experimental results show that the approach is effective and easy to be deployed with a high success

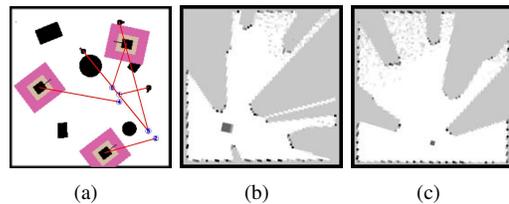


Fig. 2. (a) A simulation environment, where the blue digital circles represent the target positions of corresponding vehicles or robots, black blocks in magenta blocks denote vehicles, and black spots denote robots. (b) The egocentric local grid map for the vehicle 0. (c) The egocentric local grid map for the robot 1.

rate.

- We introduce a specified reward shaping strategy and a multi-stage parallel curriculum learning strategy to accelerate and stabilize the training process. The ablation studies explore the positive effects of both strategies.
- We train the collision avoidance policy in simulation environments with other vehicles and differential-drive robots. Both vehicles and robots are navigated by the same policy network and its modification, respectively. The experimental results show that such training environments can greatly improve the performance of collision avoidance for the vehicle to handle multiple moving obstacles.

II. APPROACH

We first provide a formulation of the collision avoidance problem. Then we introduce the DPPO algorithm with our improvements. At last, we specify details on deploying the trained model to an actual vehicle.

A. Problem Formulation

We specify the collision avoidance problem as a Partially Observable Markov Decision Process (POMDP) problem [18], i.e., the tuple $\langle S, A, P, R, \Omega, O \rangle$.

In specific, an observation $o \in \Omega$ received by the vehicle (resp. robot) consists of the triple (M, g, α) , where M denotes its egocentric local grid map, g denotes the position of its target, and α denotes its heading angle. Note that, M can be easily generated from its local costmap¹. Fig. 2 shows corresponding egocentric local grid maps for a vehicle and a robot in a simulation environment.

We specify different action spaces for Ackermann-steering vehicles and differential-drive robots. An action $a \in A$ for a vehicle is a pair (c, σ) , where c denotes the curvature of a trajectory and σ denotes the expected acceleration for vehicle. Note that, (c, σ) specifies a trajectory that should be followed by the vehicle, which would be further processed by the trajectory tracking system of the vehicle to obtain the corresponding control commands. In our implementation, we set $c \in [-1.43, 1.43] (m^{-1})$ and $\sigma \in [-11.25, 11.25] (m/s^2)$. On the other hand, an action for a robot is pair (v, ω) , where v and ω denote expected line and angular velocities of the robot respectively, which can be executed by the robot

¹http://wiki.ros.org/costmap_2d.

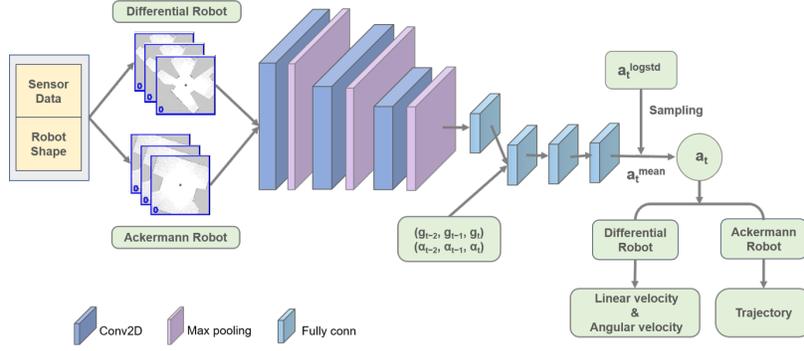


Fig. 3. The architecture of the policy network.

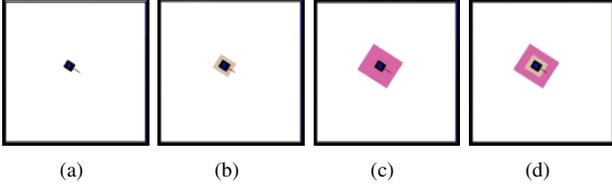


Fig. 4. (a) A vehicle in the simulation environment. (b) The danger zone of the vehicle. (c) The warning zone of the vehicle. (d) The combination of both zones.

directly. In our implementation, we set $v \in [0, 0.6]$ (m/s) and $\omega \in [-0.9, 0.9]$ (s^{-1}).

B. Distributed Proximal Policy Optimization

1) *Reward Shaping*: We first introduce the reward function for a robot, then we apply reward shaping for a vehicle by adding stepped penalties w.r.t its warning and danger zones. In specific, the reward function for a differential-drive robot is defined as follows:

$$r_r = r^g + r^c + r^s,$$

$$r^g = \begin{cases} r_{arr} & \text{if } \|p_t - g\| < 0.6, \\ \varepsilon (\|p_{t-1} - g\| - \|p_t - g\|) & \text{otherwise,} \end{cases}$$

$$r^c = \begin{cases} r_{col} & \text{if collision,} \\ 0 & \text{otherwise,} \end{cases}$$

where $r_{arr} > 0$, p_t denotes the position of the robot at the current time step t , ε is a hyper-parameter, and r^g denotes the reward for arriving the target and the penalty for departing the target. $r_{col} < 0$ and r^c denotes the penalty for the collision. As last, we apply a small negative penalty for each time step, i.e., $r^s < 0$, to encourage short paths.

As illustrated in Fig. 4, we define a warning and a danger zone of an Ackermann-steering vehicle for reward shaping.

Then the reward function for an Ackermann-steering vehicle is defined as follows:

$$r_v = r^g + r^c + r^s + r^w + r^d,$$

$$r^w = \begin{cases} r_{warn} & \text{if obstacles in the warning zone,} \\ 0 & \text{otherwise,} \end{cases}$$

$$r^d = \begin{cases} r_{danger} & \text{if obstacles in the danger zone,} \\ 0 & \text{otherwise,} \end{cases}$$

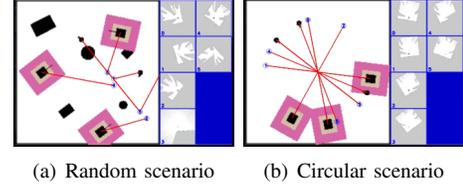


Fig. 5. (a) Random scenario: environments with randomly located obstacles and multiple vehicles and robots. (b) Circular scenario: environments with randomly placed vehicles and robots on a circle.

where $r_{warn}, r_{danger} < 0$, r^w (resp. $r^w + r^d$) denotes the penalty when there were obstacles in the warning (resp. danger) zone of the vehicle.

In our implementation, we set $r_{arr} = 500$, $\varepsilon = 10$, $r_{col} = -500$, $r^s = -5$, $r_{warn} = -20$, and $r_{danger} = -10$.

In this paper, we apply the Distributed Proximal Policy Optimization (DPPO) algorithm [19] to train the stochastic policy $\pi_\theta(a | o)$ of collision avoidance for an Ackermann-steering vehicle. DPPO is extended from PPO by collecting experiences in a distributed setting from a variety of environments where multiple vehicles and robots share the same policy π_θ while take different actions. We specify details of the network architecture and the training process in the following.

2) *Network Architecture*: The architecture of the convolutional network for the collision avoidance policy π_θ in DPPO is shown in Fig. 3. The input of the network consists of three frames of observations, i.e., egocentric local grid maps, positions of targets, and heading angles. The network outputs the mean of the action, which is sampled from a Gaussian distribution. We use different clip functions to convert the result to the corresponding action for the Ackermann-steering vehicle or the differential-drive robot, respectively. Then both vehicles and robots can share the same network during the training, while converting the results to corresponding actions.

3) *Multi-Stage Parallel Curriculum Learning*: The policy network needs to be trained in simulation environments of two scenarios illustrated in Fig. 5, where random scenario helps the vehicle to be able to avoid obstacles and circular scenario helps the vehicle to be able to interact with others. However, as shown in experiments, it is hard to learn a policy

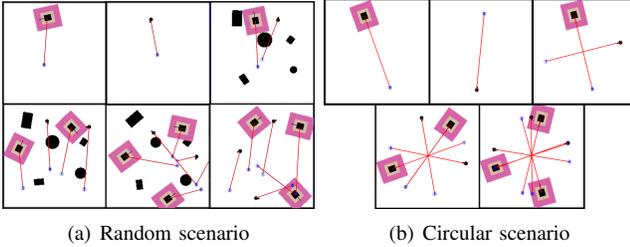


Fig. 6. Training scenarios with different difficulties.

by directly applying DPPO on these environments.

We introduce a multi-stage parallel curriculum learning strategy to accelerate and stabilize the training process. In specific, we first train the network on environments in random scenario by parallelly training the network on a series of scenarios with different difficulties as illustrated in Fig. 6(a). Once the network has achieved a good performance on these scenarios, we start the next stage and train the network on environments in circular scenario by parallelly training the network on a series of scenarios with different difficulty levels as illustrated in Fig. 6(b).

C. Deployment on Vehicle

As shown in Fig. 1, the Ackermann-steering vehicle has a 3D LiDAR sensor to generate point clouds² of the surrounding environment. Then the costmap converted from the point clouds can construct the egocentric local grid map. Meanwhile, the vehicle has a RTK-GNSS³ receiver which provides the target position, the vehicle’s position and heading angle.

Given an action (c, σ) , the vehicle tracks the corresponding trajectory with the pure pursuit method⁴, whose parameters have been tuned for the specified vehicle. We also implement a safety system for the real vehicle to stop it if there were obstacles in its danger region.

Parameter	Value
learning rate for policy network	1.0×10^{-3}
learning rate for value function	3.0×10^{-4}
training iterations for policy network	80
training iterations for value function	80
image size	48×48
episode length	5000

TABLE I
HYPER-PARAMETERS OF OUR TRAINING ALGORITHM.

III. EXPERIMENTS

In this section, we evaluate the approach with multiple scenarios both in simulation and the real world. The demonstration video can be found at <https://youtu.be/kkEVVgvzsDE>.

²<http://wiki.ros.org/pcl>.

³<http://wiki.ros.org/rtklib>.

⁴http://wiki.ros.org/purepursuit_planner.

A. Reinforcement Learning Setup

We trained our collision avoidance policy for the Ackermann-steering vehicle following the DPPO algorithm with the hyper-parameters listed in Table I.

The training environments are constructed by a customized simulator based on OpenCV⁵. Both the policy network and the value network are implemented in Pytorch⁶ and trained with the Adam optimizer. The training hardware is a computer with an i7-10700 CPU and a single NVIDIA GeForce RTX 3090 GPU. The entire training process takes about 35 hours for the policy to achieve a good performance.

We use success rate, i.e., the ratio of the episodes that end with the vehicle reaching its target without any collision, and expected return, i.e., the average of the sum of rewards of episodes, to evaluate the performance of the collision avoidance policies for different approaches.

B. Simulation Experiments

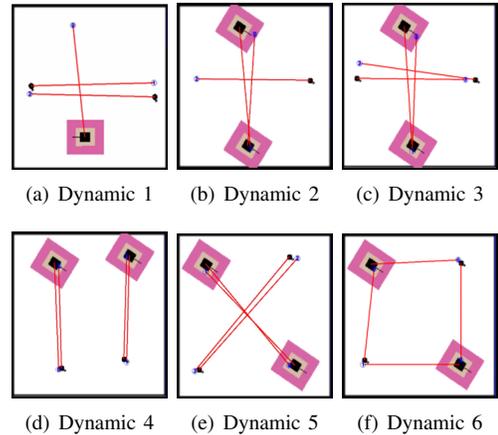


Fig. 7. Testing scenarios with randomly placed vehicles and robots.

Scenario	Success rate	Scenario	Success rate
Dynamic 1	95.9%	Dynamic 4	82%
Dynamic 2	88.2%	Dynamic 5	94.8%
Dynamic 3	89.1%	Dynamic 6	90.4%
Random	93.3%	Circular	91.3%

TABLE II
PERFORMANCE OF THE TRAINED MODEL FOR DYNAMIC SCENARIOS.

Notice that, we only use environments in the random scenario and circular scenario for the training. Here we evaluate the performance of the trained model on multiple unseen scenarios as illustrated in Fig. 7. The experimental results are summarized in Table II, which are calculated from the averaging results of 500 randomly constructed environments for corresponding scenarios. In particular, the results for the random and circular scenario in Table II are calculated on newly generated environments in both scenarios. These results show that the trained policy performs well in testing scenarios with a high success rate.

⁵<https://opencv.org/>.

⁶<https://pytorch.org/>.

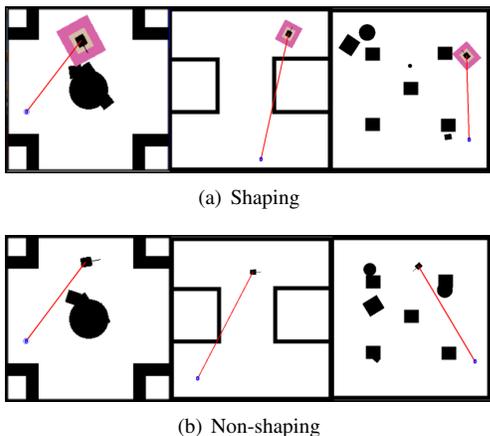


Fig. 8. Static training scenarios for “Shaping” and “Non-shaping”.

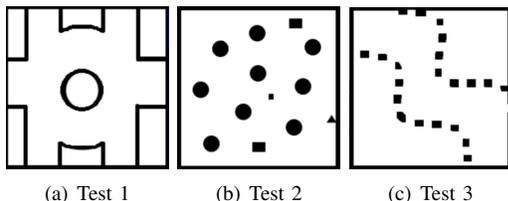


Fig. 9. Static testing scenarios for “Shaping” and “Non-shaping”.

C. Ablation Studies

We conduct ablation studies here to evaluate the effects of using our reward shaping and the multi-stage parallel curriculum learning strategies.

Notice that, the experiments were performed for scenarios with only static obstacles, as DPPO failed to converge for dynamic scenarios if either of these strategies were not adopted. Moreover, DPPO also failed to converge for these static scenarios if none of these strategies were adopted. We first consider the reward shaping strategy. We use “Shaping” to denote the DPPO approach with our reward shaping and “Non-shaping” to denote the approach without the reward shaping. Note that, multi-stage parallel curriculum learning is applied in both approaches. We construct scenarios with randomly placed static obstacles for the training of both approaches as shown in Fig. 8. We also construct static scenarios for the testing as shown in Fig. 9.

The experimental results are summarized in Table III, which are calculated from the averaging results of 200 randomly constructed environments for corresponding sce-

Scenario	Method	Success rate	Expected return
Test 1	Non-shaping	78%	74.3
	Shaping	100%	276
Test 2	Non-shaping	82%	44.7
	Shaping	88.5%	133
Test 3	Non-shaping	78.5%	63.6
	Shaping	87%	131

TABLE III

PERFORMANCE OF “NON-SHAPING” AND “SHAPING”.

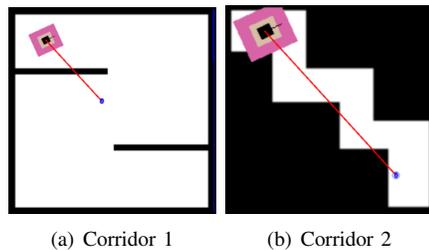


Fig. 10. Training scenarios for “Curr” and “Non-curr”.

Scenario	Method	Success rate	Expected return
Corridor 1	Non-curr	0%	-732
	Curr	99%	118
Corridor 2	Non-curr	0%	-524
	Curr	80%	1.03

TABLE IV

PERFORMANCE OF “NON-CURR” AND “CURR”.

narios. These results show that the reward shaping strategy is important for the performance.

Now we consider the multi-stage parallel curriculum learning strategy. We use “Curr” to denote the DPPO approach with multi-stage parallel curriculum learning and “Non-curr” to denote the one without. Note that, the reward shaping is also applied in both approaches. Similarly, we construct two scenarios for the training of both approaches as shown in Fig. 10. “Non-curr” has already failed to converge for these training scenarios. The experimental results are summarized in Table IV, which are calculated from the averaging results of 100 tests for corresponding scenarios. These results show that multi-stage parallel curriculum learning is crucial for the performance.

D. Real-World Experiments

In this section, we deploy the trained collision avoidance policy to an Ackermann-steering vehicle, as shown in Fig. 1, in the real world. In specific, the vehicle has a 16 laser-beam LiDAR, a RTK-GNSS receiver, an IPC with an i7-8700 CPU and a NVIDIA 2080Ti GPU. The LiDAR is used to generate the egocentric local grid map with the size 6×6 (m^2) and the resolution 0.1 (m^2) for the size of a cell.

We introduce a series of real-world tests for the vehicle to evaluate the performance of our map-based DPPO approach. We place paper boxes as static obstacles and consider walking pedestrians as moving obstacles in the environment. Fig. 11 illustrates the performance of the vehicle in scenarios with static and moving obstacles. The experimental result shows that the trained model can be easily deployed to an Ackermann-steering vehicle to perform collision avoidance in environments with static and moving obstacles. The demonstration video can be found at <https://youtu.be/kkEvvzvsDE>.

IV. CONCLUSIONS

In this paper, we argue that navigating through multiple moving obstacles with different kinematic constraints

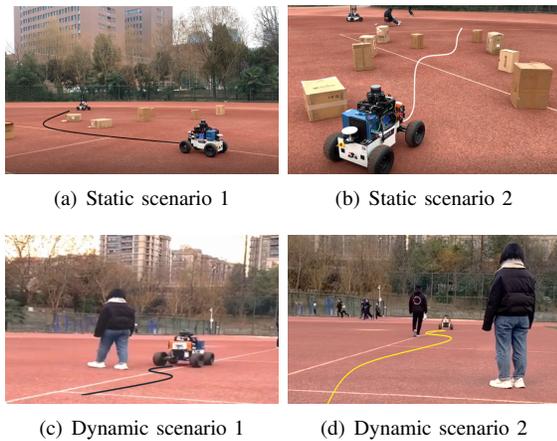


Fig. 11. Experiments in the real world.

need to be handled by collision avoidance approaches for Ackermann-steering vehicles. To address this challenge, we propose a DPPO based collision avoidance approach for an Ackermann-steering vehicle that allows the vehicle to navigate through pedestrians or differential-drive robots in a communication-free scenario. We use the egocentric local grid map of the vehicle to represent the environmental information around it including its shape and observable appearances of obstacles, robots, and other vehicles, which can be easily generated by using multiple sensors or sensor fusion. Then we apply DPPO to train a convolutional neural network that directly maps three frames of egocentric local grid maps and the positions of the vehicle's targets into a collision-free and drivable trajectory, which would be tracked by the vehicle. We apply multi-stage parallel curriculum learning to train networks using simulation environments in the random scenario and circular scenario, where a specified reward shaping is used to accelerate and stabilize the training process. At last, we deploy the trained model to an actual vehicle to perform collision avoidance in its navigation without tedious parameter tuning.

We evaluate the approach with multiple scenarios both in simulation and the real world. Experimental results show that the approach performs well to unseen scenarios with a high success rate. We also conduct ablation studies showing the positive effects of applying our improvements. These experiments show that our approach is effective, easy to be deployed to an Ackermann-steering vehicle, and performs well in the real world.

For future work, we plan to deploy the train model to multiple Ackermann-steering vehicles and differential-drive mobile robots of different shapes in the real world. We will further investigate DRL-based approaches for heterogeneous multi-robot collision avoidance.

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